

MECE 3350U  
Control Systems

Lecture 19  
Nyquist Plot<sub>s</sub>

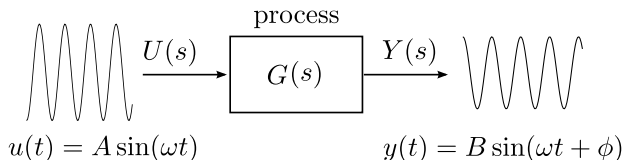
## Outline of Lecture 19

By the end of today's lecture you should be able to

- Draw the approximate Nyquist plot of a transfer function
- Relate the Nyquist plot to frequency response
- Determine the stability based on open loop transfer function

## Applications

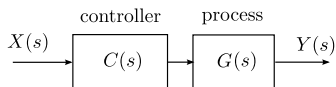
The frequency response of any system can be determined experimentally.



What does this information about the open-loop system tell us about the stability of the closed-loop system?

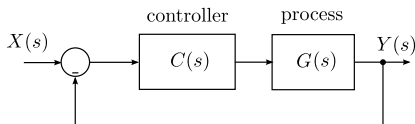
## Review

An open loop transfer function  $L(s) = C(s)H(s)$



is stable if all the **poles** of  $C(s)H(s)$  have negative real parts.

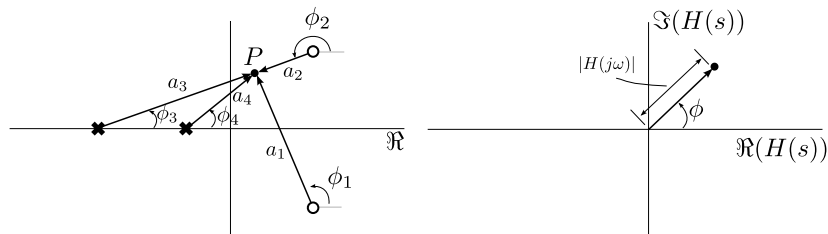
The closed loop system



$$T(s) = \frac{C(s)G(s)}{1 + C(s)G(s)}$$

is stable if the **zeros** of  $1 + C(s)G(s)$  have negative real parts.

## Cauchy's argument principle



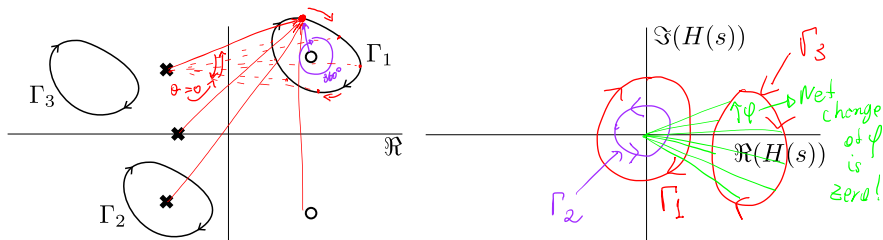
The magnitude is

$$|H(j\omega)| = \frac{a_1 \times a_2}{a_3 \times a_4}$$

The phase is

$$\phi = \phi_1 + \phi_2 - \phi_3 - \phi_4$$

# Cauchy's argument principle



As  $s$  traverses  $\Gamma_1$ , the net angle change is  $+360^\circ$

As  $s$  traverses  $\Gamma_2$ , the net angle change is  $-360^\circ$  (pole)

As  $s$  traverses  $\Gamma_3$ , the net angle change is  $0$

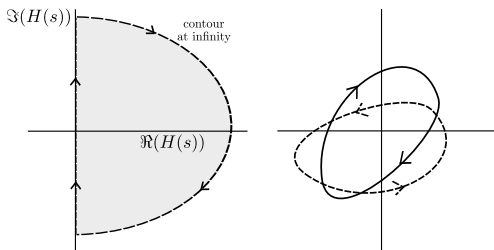
## Cauchy's argument principle

If the characteristic equation of  $1 + C(s)G(s)$  has:

→ A number  $P$  of **poles** in the right-half plane.

→ A number  $N$  of **zeros** in the right-half plane.

For an contour that encircles the entire right-half plane:



The relation between  $P$ ,  $Z$ , and the **net** number  $N$  of clockwise encirclements of the origin is:

$$N = Z - P$$

$$Z = P + N$$

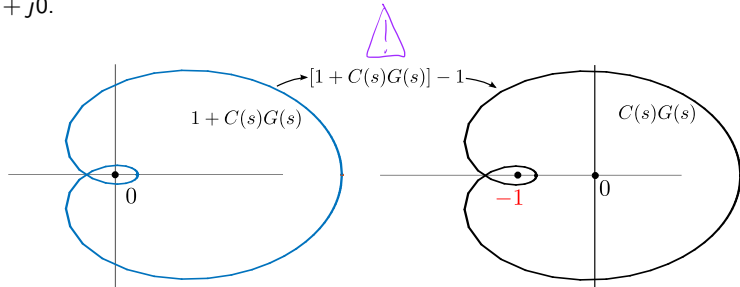
## Nyquist plot

$$1 + C(s)G(s) = 0 \quad \text{closed-loop} \quad (1)$$

If (1) has a zero or pole in the right-half s-plane, the contour of (1) encircles the origin.

$$T(s) = C(s)G(s) \quad \text{open-loop} \quad (2)$$

If (2) has a zero or pole in the right-half s-plane, the contour of (1) encircles  $-1 + j0$ .





## The Nyquist Stability Criterion

An **open-loop** transfer function  $L(s)$  has  $Z$  unstable **closed-loop** roots given by

$$Z = N + P$$

→  $N$  is the number of clockwise encirclements of  $-1$

→  $P$  is the number of poles in the right-half  $s$ -plane

Counterclockwise encirclements are negative.

Thus:

A **open-loop** transfer function  $L(s)$  is **closed-loop** stable if and only if the number of counterclockwise encirclements of the  $-1 + 0j$  point is equal to the number of poles of  $L(s)$  with positive real parts.

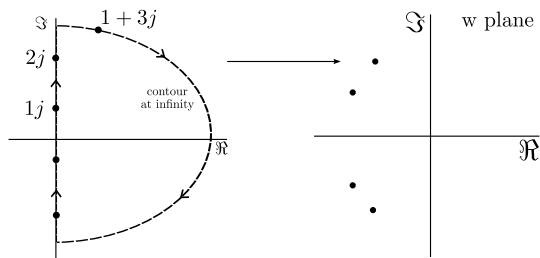
*Stability requires  
 $Z=0$ .*

## Nyquist plot

How to create the Nyquist plot for a given function?

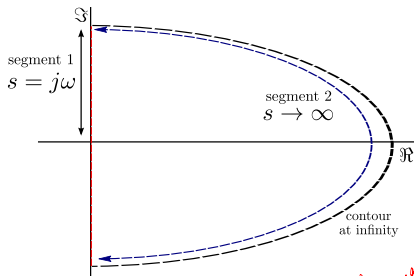
$$L(s) = \frac{s + 1}{s^2 + 3}$$

Point by point mapping?



# Nyquist plot

The Nyquist contour can be divided into two segments



⇒ Segment 1 - The imaginary axis, i.e.,  $s = j\omega$

*frequency response.*

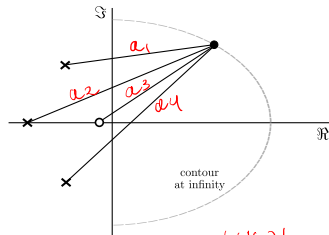
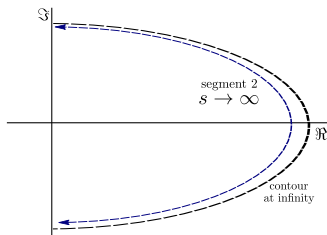
Thanks to symmetry, only the positive part needs to be evaluated

⇒ Segment 2 - The contour at infinity

Segment 2 maps to a single point!

## Segment 2 - Contour at infinity

### Case 1 - More poles than zeros



$$|H(s)| = \frac{a_3}{a_2 a_1 a_4} \rightarrow 0$$

If  $|s| \rightarrow \infty$  and  $m > n$ , then

$$|H(s)| = k \frac{\prod_{i=1}^n (|s + z_i|)}{\prod_{k=1}^m (|s + p_k|)} \rightarrow 0$$

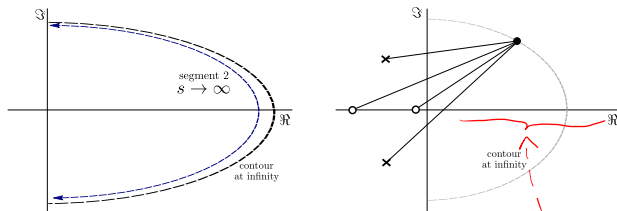
→ The magnitude is zero for all points lying on the contour at infinity

→ The phase is irrelevant

→ In the Nyquist plot the entire segment maps to zero.

## Segment 2 - Contour at infinity

Case 2 - Same number of poles and zeros



If  $|s| \rightarrow \infty$  and  $m = n$ , then

$$|H(s)| = k \frac{\prod_{i=1}^n (|s + z_i|)}{\prod_{k=1}^m (|s + p_k|)} = \beta$$

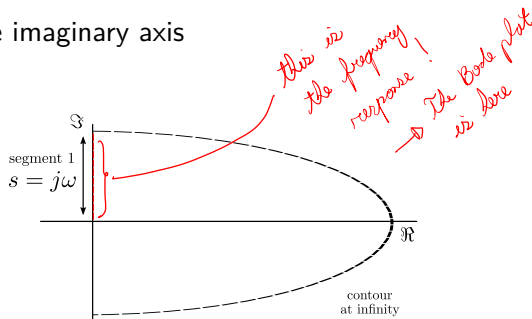
The phase is

$$\angle H(s) = \sum_{i=1}^n \angle(s + z_i) - \sum_{k=1}^m \angle(s + p_k) \approx 0$$

Thus

$$\beta \in \mathbb{R}^+$$

## Segment 1 - positive imaginary axis



For the imaginary segment, 4 points need to be analysed

- 1  $\rightarrow \omega = 0$  (starting point)
- 2  $\rightarrow \omega \rightarrow \infty$
- 3  $\rightarrow$  Point in the  $w$ -plane where the plot crosses the real axis
- 4  $\rightarrow$  Point in the  $w$ -plane where the plot crosses the imaginary axis

# Exercise 112

recall that  $\begin{cases} s = j\omega \\ j = \sqrt{-1} \end{cases}$

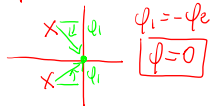
Determine the Nyquist plot for the open-loop transfer function

$$L(s) = \frac{1}{s^2 + s + 1} \rightarrow L(j\omega) = \frac{1}{(j\omega)^2 + j\omega + 1}$$

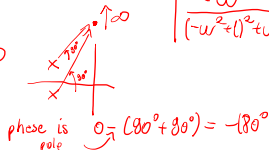
$$L(j\omega) = \frac{1}{- \omega^2 + j\omega + 1} \cdot \frac{(-\omega^2 + 1) - j\omega}{(-\omega^2 + 1) - j\omega} \rightarrow L(j\omega) = \underbrace{\frac{-\omega^2 + 1}{(-\omega^2 + 1)^2 + \omega^2}}_{\text{real part}} + j \underbrace{\frac{-\omega}{(-\omega^2 + 1)^2 + \omega^2}}_{\text{imaginary part}}$$

①  $\omega = 0$   
 $L(0) = 1$

phase is  $-(\phi_1 + \phi_2)$



②  $\omega \rightarrow \infty$   
 $L(\infty) = 0$



Imaginary axis crossing  $Re = 0$   $\rightarrow$  replace  $\omega = 1$  in  $L(j\omega)$   
 $\frac{-\omega^2 + 1}{(-\omega^2 + 1)^2 + \omega^2} = 0, \omega = 1$   $\rightarrow$   $L(j\omega) = \pm j$

Real axis crossing  $Im = 0$

$\frac{-\omega}{(-\omega^2 + 1)^2 + \omega^2} = 0, \omega = 0 \rightarrow$  already calculated in ①

## Exercise 112 - continued

$$L(s) = \frac{1}{s^2 + s + 1} \rightarrow L(j\omega) = \frac{1}{-\omega^2 + j\omega + 1}$$

$$\omega = 0$$

$$\omega \rightarrow \infty$$

Real axis crossing

*See previous slide*

Imaginary axis crossing

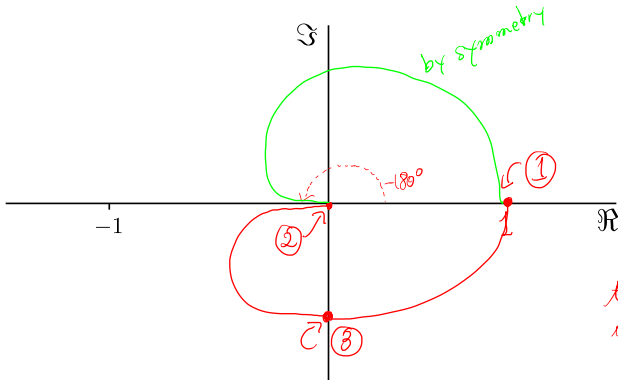


## Exercise 112 - continued

$$\frac{1}{s^2 + s + 1}$$

- ① Starting point:  $\omega = 0 \rightarrow w = 1 \angle 0^\circ$
- ② Mid point:  $\omega = \infty \rightarrow w = 0 \angle -180^\circ$
- ③ Imaginary axis crossing point:  $w = \pm 1j$
- ④ Real axis crossing point:  $w = 0$

same as  
①

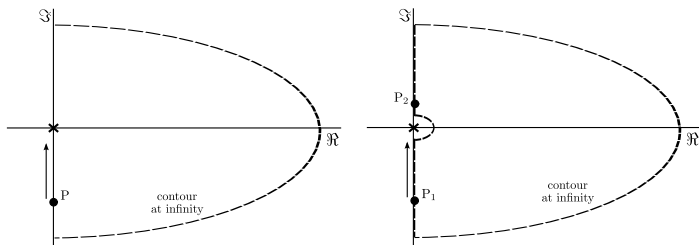


this system  
is always stable

## Poles or zeros on the imaginary axis

A pole or zero anywhere on the imaginary axis will create an arc at infinity.

Example:  $H(s) = \frac{1}{s}$



As  $P$  tends to zero:

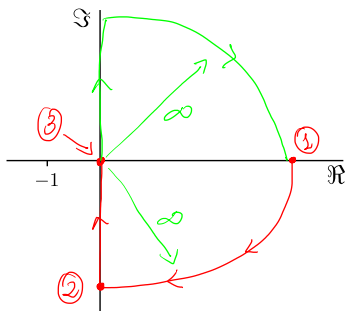
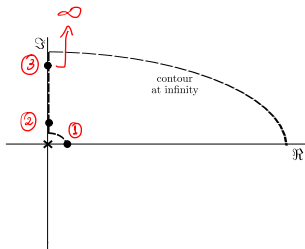
$|H(j\omega)| \rightarrow \infty$  and  $\angle H(j\omega) = -\angle s = 0 - (-90) = 90^\circ$  but it is **undefined at 0**

As  $P_1$  follows the contour **around 0**

$|H(j\omega)| \rightarrow \infty$  and  $\angle H(j\omega) = +90^\circ$ ,  $\angle H(j\omega) = 0^\circ$ ,  $\angle H(j\omega) = -90^\circ$

# Poles or zeros on the imaginary axis

Example:  $H(s) = \frac{1}{s}$

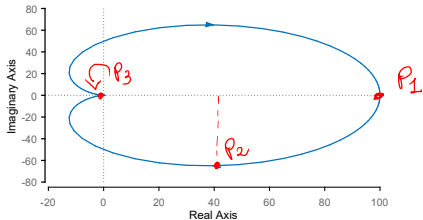
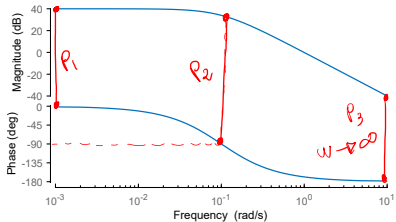
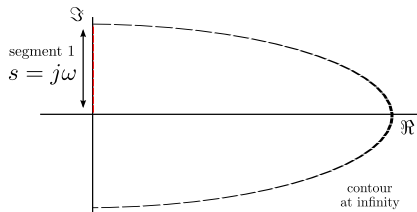


①  $|H(j\omega)| = \infty$   
 $\phi = 0$

②  $|H(j\omega)| = \infty$   
 $\phi = -90$

③  $|H(j\omega)| = 0$   
 $\phi = -90$

# Nyquist plot vs Bode plot



## Steps for analysis

- 1 → In the transfer function, set  $s = j\omega$
- 2 → Evaluate the points  $\omega = 0$ , and  $\omega \rightarrow \infty$  (including phase)
- 3 → Find the points where the plot crosses the imaginary and real axis
- 4 → Sketch the Nyquist plot and draw the reflection about the real axis
- 5 → Evaluate the number  $N$  of clockwise encirclements of  $-1$ . If encirclements are in counterclockwise direction,  $N$  is negative.
- 6 → Determine the number  $P$  of unstable poles of the open-loop transfer function
- 7 → Calculate the number  $Z$  of unstable roots  $Z = N + P$ .

## Exercise 113

Using the Nyquist stability criterion, evaluate the stability of a closed-loop system whose loop transfer function is

$$H(s) = \frac{1}{s(s+a)}$$

## Exercise 113 - continued

$$H(s) = \frac{1}{s(s+a)}$$

$$H(j\omega) = \frac{1}{j\omega(j\omega+a)} = \frac{1}{-j\omega^2 + j\omega a} \frac{-j\omega^2 - j\omega a}{-j\omega^2 - j\omega a} \rightarrow H(j\omega) = \frac{-j\omega^2}{\omega^2(\omega^2+a^2)} + j \frac{-\omega a}{\omega(\omega^2+a^2)}$$

$$H(j\omega) = -\frac{1}{\omega^2+a^2} + j \frac{(-a)}{\omega^3+\omega a^2}$$

if  $\omega = 0$

$$H(j\omega) = -\frac{1}{a^2} + j(-\infty)$$

Eg (1)

if  $\omega \rightarrow \infty$

$$H(j\omega) = 0$$

## Exercise 113 - continued

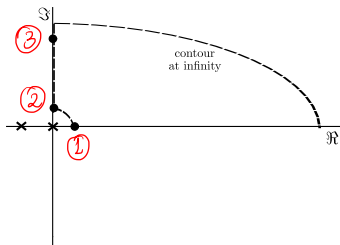
$$\textcircled{1} |H(j\omega)| = 0$$

$$\phi = 0$$

$$\textcircled{2} |H(j\omega)| = \infty \quad (\text{same as Eg 1})$$

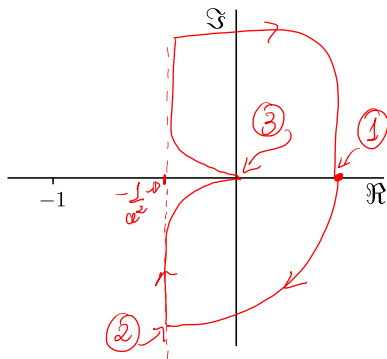
$$\phi = -90^\circ$$

$$H(s) = \frac{1}{s(s+a)}$$



$$\textcircled{3} |H(j\omega)| = 0$$

$$\phi = -180^\circ$$





## Exercise 114

A closed-loop system has a loop transfer function

$$L(s) = k \frac{s + 2}{s^2 - 1}.$$

Determine the minimum gain  $k$  that stabilizes the closed-loop system.

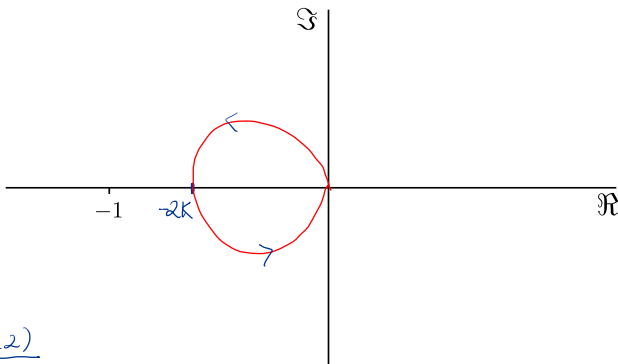
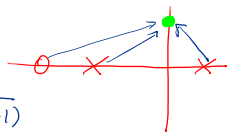
Use the Nyquist stability criterion.

## Exercise 114 - continued

$$\omega = 0 \rightarrow -2k \angle 0^\circ$$

$$\omega \rightarrow \infty \rightarrow 0 \angle 90^\circ$$

$$L(s) = k \frac{s+2}{s^2-1} = \frac{s+2}{(s+1)(s-1)}$$



$$L(j\omega) = \frac{k(j\omega+2)}{(j\omega)^2-1}$$

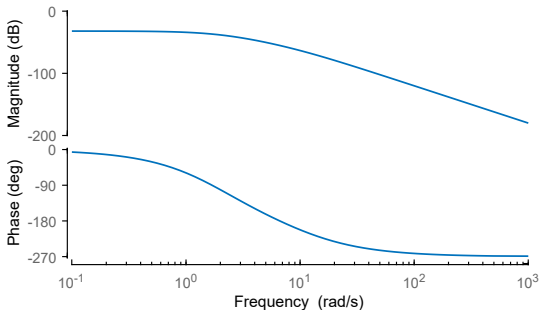
$$L(j\omega) = \frac{2k}{-1-\omega^2} + j \frac{k\omega}{-1-\omega^2}$$

$\text{Re} > 0$ ,  $\omega = \infty \rightarrow$  No crossing of Im.  
 $\text{Im} = 0$ ,  $\omega = 0$  or  $\omega = \infty$  (already calculated)

## Exercise 115

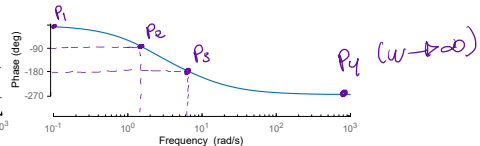
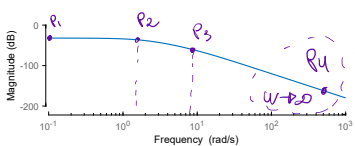
Sketch the Nyquist plot based on the Bode plots ( $k = 1$ ) for the following system, then compare your result with that obtained using the Matlab command "nyquist". Using your plots, estimate the range of  $k$  for which the system is stable, and quantitatively verify your result using a rough sketch of a root-locus plot.

$$L(s) = \frac{k}{(s + 10)(s + 2)^2}$$

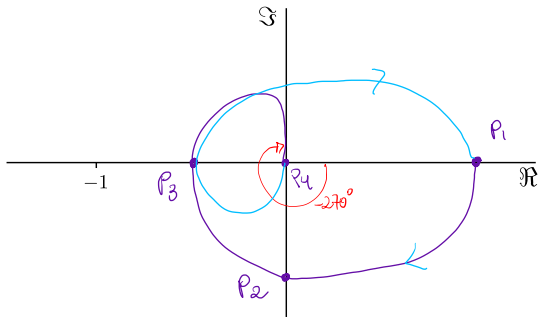


# Exercise 115 - continued

$$L(s) = \frac{k}{(s + 10)(s + 2)^2}$$

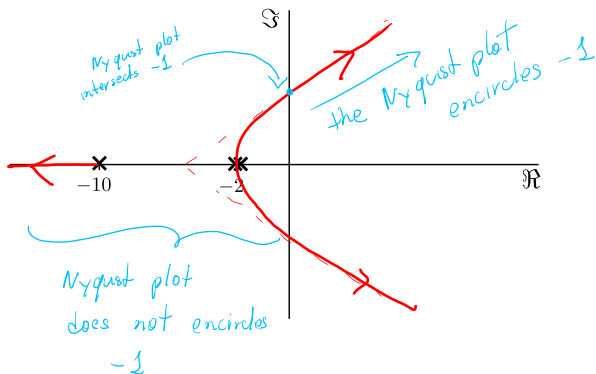


$P_4 \quad \omega \rightarrow \infty$   
 $|G(j\omega)| \rightarrow 0$   
 $\phi = -270^\circ$



## Exercise 115 - continued

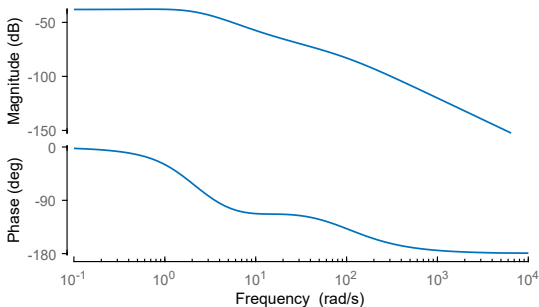
$$L(s) = \frac{k}{(s+10)(s+2)^2}$$



## Exercise 116

Sketch the Nyquist plot based on the Bode plots ( $k = 1$ ) for the following system, then compare your result with that obtained using the Matlab command "nyquist". Using your plots, estimate the range of  $k$  for which the system is stable, and quantitatively verify your result using a rough sketch of a root-locus plot.

$$L(s) = k \frac{(s + 10)(s + 1)}{(s + 100)(s + 2)^3}$$

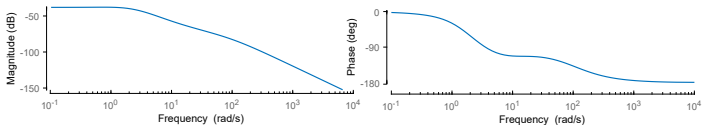


*Homework.*

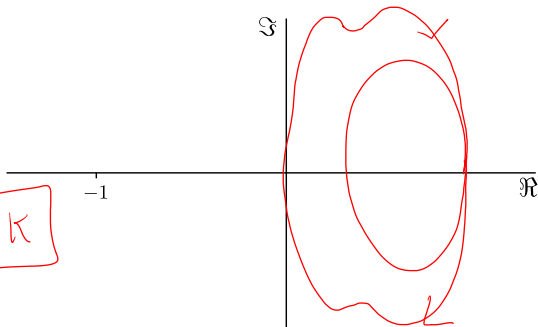
*check solutions with  
Matlab command  
"nyquist(tf)"*

# Exercise 116 - continued

$$L(s) = k \frac{(s + 10)(s + 1)}{(s + 100)(s + 3)^2}$$

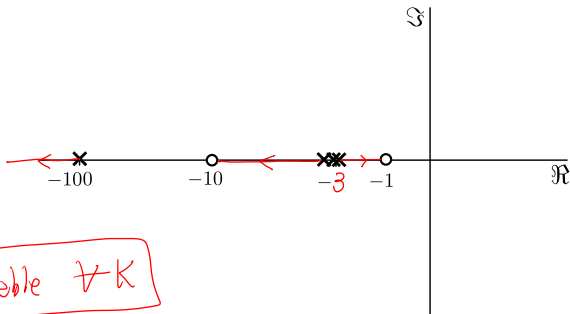


stable  $\forall k$



## Exercise 116 - continued

$$L(s) = k \frac{(s + 10)(s + 1)}{(s + 100)(s + 3)^2}$$





Please complete the student feedback survey:

<https://cci-survey.ca/uoit/ca/>

Next class...

- Stability margins